

SE-230

TRANSMITTAL OF SMALL PROFESSIONAL SERVICES CONTRACT

FOR INFORMATION ONLY

AGENCY: SOUTH CAROLINA OFFICE OF RESILIENCE-DISASTER RECOVERY DIVISION

PROJECT NAME: LAKE CITY - HIGHWAY 52 PHASE 1B

PROJECT NUMBER: D30-N005-MJ / IP20-2102-01

FIRM SELECTED

NAME: AECOM

CITY: COLUMBIA


STATE: SC

EMAIL: KEVIN.KRICK@AECOM.COM

TELEPHONE: 803-422.2223

BUDGETARY INFORMATION

1. TOTAL PROJECT BUDGET: PIP (enter latest A-1 "Total Project Budget")\$ 0
 Non-PIP (enter Agency's project budget)\$ 44,000.00
2. CONSTRUCTION BUDGET FOR THIS CONTRACT:
 (including Construction Contingency):N/A ☒\$ 0
3. TOTAL BASIC AND ADDITIONAL SERVICES FEES FOR THIS CONTRACT:
 (cannot exceed \$50,000.)\$ 44,000.00
4. ESTIMATED REIMBURSABLES FOR THIS CONTRACT:\$ 0.00
5. TOTAL CONTRACT AMOUNT: (sum of #3 and #4)\$ 44,000.00
6. SUM OF ALL FEES PAID TO THIS FIRM IN THE PAST 24 MONTHS,
 EXCLUDING REIMBURSABLES: (cannot exceed \$150,000.)\$ 0

BY:  DATE: 9/22/2021
 (Signature of Agency Representative)

PRINT NAME: Eric G. Fosmire

TITLE: Chief of Staff

SUBMIT THE FOLLOWING DOCUMENTS TO OSE:

1. SE-230
2. Copy of either:
 - a. Signed proposal from A/E with SE-240 attached, or
 - b. SE-235 with signed proposal from A/E attached

OSE PM: _____ DATE: _____

C. A/E RESPONSIBILITIES

1. The A/E shall provide professional services as set forth in this Contract consistent with the professional skill and care ordinarily provided by A/E's practicing in the same or similar locality region under the same or similar circumstances.
2. The A/E represents that its' team is properly licensed in the jurisdiction where the Project is located to provide the services required.
3. The A/E's responsibilities commence with the award of this Contract and terminate with the payment of the final invoice by the Agency.

D. INSURANCE

1. The A/E shall procure and maintain in effect during the term of this Contract the insurance coverages described below, which insurance shall be placed with insurance companies authorized to do business in the State of South Carolina and rated A minus VII or better by the current edition of Best's Key Rating Guide or otherwise approved by Agency.
 - a. Professional Liability Insurance with limits of not less than \$1,000,000 per claim and in the aggregate. A/E shall maintain this coverage in effect during the term of this Contract and for five (5) years after the date of completion of services provided under this Contract. A/E shall give prompt written notice to Agency of all claims made against this policy during the period in which this policy is required to be maintained.
 - b. Worker's Compensation Insurance as required by the State of South Carolina with statutory limits.
 - c. Employers' Liability Insurance with limit of no less than \$1,000,000 per accident.
 - d. Automobile Liability Insurance: Insurance Services Offices (ISO) Form CA 00 01 covering Code 1 (any auto), or if A/E has no owned automobiles, Code 8 (hired) and Code 9 (non-owned), with limits not less than \$1,000,000 per accident for bodily injury and property damage.
 - e. Commercial General Liability Insurance (CGL): ISO Form CG 00 01 12 07 covering CGL on an "occurrence" basis for bodily injury and property damage, including products-completed operations, personal injury, and advertising injury, with limits no less than \$1,000,000 per occurrence. If a general aggregate limit applies, either the general aggregate limit shall apply separately to the project or the general aggregate limit shall be twice the required occurrence limit. This Contract shall be considered to be an "insured contract" as defined in the policy.
2. The A/E agrees to require Consultants to comply with the insurance provisions required of A/E pursuant to this Contract unless A/E and Agency mutually agree to modify these requirements for Consultants whose work is of relatively small scope. The A/E agrees that it will contractually obligate its Consultants to advise A/E promptly of any changes or lapses of the requisite insurance coverages and A/E agrees to promptly advise Agency of any such notices A/E receives from its Consultants. The A/E agrees that it will contractually obligate its Consultants to indemnify and hold harmless the Agency to the same extent that the A/E is required to do so as provided in this Contract.
3. The A/E shall provide certificates of insurance to the Agency that evidence compliance with the requirements in this Section.
4. Additional Insured Obligations
 - a. To the fullest extent permitted by law, the A/E shall cause the primary and excess or umbrella policies for Commercial General Liability and Automobile Liability to include the Agency, its officers, officials, employees, and volunteers, as additional insureds for claims caused in whole or in part by the A/E's negligent acts or omissions. The additional insured coverage shall be primary and non-contributory to any of the Agency's insurance policies and shall apply to both ongoing and completed operations.
 - b. Prior to performing services, and thereafter upon replacement of each required policy of insurance, the A/E shall provide to the Agency a written endorsement to the A/E's General Liability Insurance policy that (i) names the Agency, its officers, officials, employees, and volunteers, as additional insureds, and (ii) states that coverage shall not be cancelled, except with notice to the Agency.
 - c. Information concerning reduction of coverage on account of revised limits or claims paid under the General Aggregate, or both, shall be furnished by the A/E with reasonable promptness.

E. INDEMNIFICATION

1. Without limitation and notwithstanding any provision in this Contract, the A/E shall indemnify and hold harmless the Indemnitees for and against claims, damages, losses and expenses (including attorneys' fees) asserted by a third party against an Indemnitee arising out of or resulting from negligent acts or omissions of the A/E, a consultant, anyone directly or indirectly employed by them or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss or expense is caused in part by a party indemnified hereunder, provided that such claim, damage, loss or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself). The A/E shall not be required to indemnify an Indemnitee to the extent the Indemnitee's damages result from the Agency's own negligence.

2. Such obligation shall not be construed to negate, abridge, or reduce any other rights, including any other obligations of indemnity, which would otherwise exist as to a party or person described in this Section. As used in this paragraph, "Indemnitees" means the State (including its instrumentalities, agencies, departments, boards, and political subdivisions), the contractor, the subcontractors at all tiers, and the officers, agents and employees of all the forgoing.

F. A/E SERVICES

1. The A/E shall be fully responsible for coordinating all services under this Contract regardless of whether performed by its own employees or by consultants hired by A/E to perform a portion of its' services.
2. The A/E shall be responsible to the Agency for the services furnished to the A/E by any Consultant to the same extent as if the A/E had furnished the service itself. A/E also agrees to coordinate and resolve any inconsistencies in its work and the work of its Consultants. All of A/E's contracts with Consultants shall be in writing, signed by both parties, and shall include the following provision: "The Agency is intended to be a third-party beneficiary of this Contract."
3. The A/E shall prepare and distribute conference memoranda, meeting minutes, summaries of telephone conversations, documentation and reports as required by the Agency to maintain a comprehensive record. The State Project Number and Name as noted above shall be shown on all documents.
4. Any reference in the Contract Documents to the A/E taking action or rendering a decision with a "reasonable time" or "reasonable promptness" is understood to mean no more than ten (10) days, unless otherwise specified in the Contract Documents or otherwise agreed to by the parties.
5. Work Product Documents
 - a. The Work Product to be accomplished and submitted to the Agency shall be as defined in the Contract Documents.
 - b. The A/E shall submit to the Agency, and OSE if required, properly completed documents in the number and form requested for review and approval.
 - c. The Agency and OSE review and approval of all documents or other matters required herein shall not relieve the A/E of his professional duty of care in the preparation of the Work Product for compliance with the requirements of applicable statutes, regulations, codes, or the Manual.
5. Additional Services
 - a. The A/E may provide Additional Services after execution of this Contract without invalidating the Contract. Except for services required due to the fault of the A/E, any Additional Services provided shall entitle the A/E to compensation pursuant to negotiations and an appropriate adjustment in the A/E's schedule.
 - b. The A/E shall not proceed to provide Additional Services until the A/E receives the Agency's written authorization.

G. AGENCY'S RESPONSIBILITIES

1. The Agency shall review the Work Product and shall submit its written approval to the A/E, and OSE, if required.
2. The Agency shall provide prompt written notice to the A/E if the Agency becomes aware of any fault or defect, including errors, omissions or inconsistencies in the A/E's Work Product.
3. The Agency shall include the A/E in all communications that relate to or affect the A/E's services or professional responsibilities. Communications by and with the A/E's consultants shall be through the A/E.

H. CLAIMS AND DISPUTE RESOLUTION

1. All disputes, claims, or controversies relating to the Contract shall be resolved exclusively by the appropriate Chief Procurement Officer in accordance with Title 11, Chapter 35, Article 17 of the South Carolina Code of Laws, or in the absence of jurisdiction, only in the Court of Common Pleas for, or a federal court located in, Richland County, State of South Carolina. A/E agrees that any act by the State regarding the Contract is not a waiver of either the State's sovereign immunity or the State's immunity under the Eleventh Amendment of the United States Constitution. As used herein, the phrase "the State" includes the Agency, any governmental entity transacting business with the A/E pursuant to the Contract, and the State Fiscal Accountability Authority.
2. A/E consents that any papers, notices, or process necessary or proper for the initiation or continuation of any disputes, claims, or controversies relating to the Contract; for any court action in connection therewith; or for the entry of judgment on any award made, may be served on A/E by certified mail (return receipt requested) addressed to A/E at the address provided in the Contract or by personal service or by any other manner that is permitted by law, in or outside South Carolina. Notice by certified mail is deemed duly given upon deposit in the United States mail.
3. The A/E and Agency waive claims against each other for listed damages arising out of or relating to this Contract.
 - a. For the Agency, listed damages are (i) lost revenue and profit, (ii) losses resulting from injury to business or reputation, (iii) additional or escalated overhead and administration expenses, (iv) additional financing costs, (v) costs suffered by a third party unable to commence work, (vi) attorney's fees, (vii) any interest, except to the extent allowed by Section K.5, (viii) lost revenue and profit lost use of the property, (ix) costs resulting from lost productivity or efficiency.

- b. For the A/E, listed damages are (i) lost revenue and profit, (ii) losses resulting from injury to business or reputation, (iii) additional or escalated overhead and administration expenses, (iv) additional financing costs, (v) attorney's fees, (vi) any interest, except to the extent allowed by Section K.5, (vii) unamortized equipment costs; and (viii) losses incurred by the A/E's consultants for the types of damages the A/E has waived as against the Agency.
- 4. Continuation of Work: Pending resolution of a claim or dispute, the A/E shall proceed diligently with the performance of its services under this Contract, and Agency shall continue to make payments in accordance with this Contract for all services rendered by A/E which are not the subject of the claim or dispute.

I. TERMINATION OR SUSPENSION

- 1. Agency Right of Suspension:
 - a. The Agency may, at any time, suspend the Work, in whole or in part, with or without cause for such period of time as determined by the Agency. The A/E shall be compensated for services performed prior to notice of such suspension, except in the event the suspension was due to a default by the A/E.
 - b. When the Work, in whole or in part, is resumed, the remaining amount payable to the A/E may be equitably adjusted to reflect reasonable costs actually incurred by the A/E due to delay or interruption resulting from such suspension.
 - c. If the suspension exceeds ninety (90) consecutive days, the A/E's fees for the remaining services and the time schedules shall be equitably adjusted.
- 2. Agency Right of Termination:
 - a. Termination for Cause: If the A/E defaults, persistently fails or neglects to perform the services in accordance with the Contract Documents, or fails to perform a provision of the Contract, the Agency shall provide written notice of such default, failure, or neglect to the A/E. If the A/E fails to cure such default, failure, or neglect within ten (10) days from receipt of the Agency's notice, the Agency may, without prejudice to any other right or remedy the Agency may have, terminate the Contract.
 - b. Termination for Convenience: The Agency may, for its convenience, terminate all or any portion of the Work or terminate this Contract by ten (10) days written notice stating the effective date of the termination. Thereafter, the Agency shall pay the A/E for those services actually performed before the date of termination. No payments shall be made for services not actually performed, and no payment shall be made or due for lost profits for portions of the services not actually performed.
- 3. A/E Right of Termination:
 - a. The A/E may terminate the contract if Work is stopped through no fault of the A/E, or other persons performing work either directly or indirectly for the A/E, for a period of time exceeding sixty (60) consecutive calendar days due to a court order or other public authority having jurisdiction; or a declared National emergency which requires the Work to be stopped.
 - b. Agency Failure to Make Payment: Subject to the Agency's right to withhold payments pursuant to Section K, if the Agency fails to make payments to the A/E as set forth in Section K and any other applicable provisions of the Contract Documents, the A/E may, upon fourteen (14) days prior written notice to the Agency, terminate the Contract and recover from the Agency payment for all services performed, including reasonable overhead, profit and damages applicable to the services performed through the date thereof.
- 4. In the event of suspension or termination for convenience, upon request of Agency and payment of all fees pursuant to this Section, A/E shall promptly provide Agency with all documents, completed or in progress on the date of termination, in electronic format. The Agency's rights to use the A/E's Work Product in the event of a termination of this Contract are set forth in the Contract.

J. MISCELLANEOUS PROVISIONS

- 1. Governing Law: The Contract, any dispute, claim, or controversy relating to the Contract, and all the rights and obligations of the parties shall, in all respects, be interpreted, construed, enforced and governed by and under the laws of the State of South Carolina, except its choice of law rules.
- 2. This Contract is formed pursuant to and governed by the South Carolina Consolidated Procurement Code and is deemed to incorporate all applicable provisions thereof and the ensuing regulations.
- 3. Severability: If it is determined that any provision of the Contract violates any law, or is otherwise invalid or unenforceable, such determination shall not impair or otherwise affect the validity, legality, or enforceability of the remaining provision or parts of the provision of the Contract Documents, which shall remain in full force and effect as if the unenforceable provision or part were deleted. In such case the Contract shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Contract.

4. **Economic Conflict of Interest:** The A/E shall not have or exercise any official responsibility regarding a public contract in which the A/E, or a business with which he is associated, has an economic interest. A person working for the A/E shall not have or exercise any official responsibility regarding a public contract in which the person, an individual with whom he is associated, or his family members have an economic interest. If the A/E is asked by any person to violate, or does violate, either of these restrictions, the A/E shall immediately communicate such information to the procurement officer. The state may rescind, and recover any amount expended as a result of, any action taken, or contract entered in violation of this provision. The terms "business with which he is associated," "economic interest," "family member," "immediate family," "individual with whom he is associated," "official responsibility" and "person" have the meanings provided in S.C. Code Ann. § 8-13-100.
5. **Drug-Free Workplace:** The A/E must comply with the Drug-Free Workplace Act, S.C. Code Ann. §§ 44-107-10, et seq. The A/E certifies to the Agency that A/E will provide a Drug-Free Workplace, as defined by S.C. Code Ann. §§ 44-107-20(1).
6. **False Claims:** According to SC Code § 16-13-240, "a person who by false pretense or representation obtains the signature of a person to a written instrument or obtains from another person any chattel, money, valuable security, or other property, real or personal, with intent to cheat and defraud a person of that property is guilty" of a crime.
7. **Non-Indemnification:** It is unlawful for a person charged with disbursements of state funds appropriated by the General Assembly to exceed the amounts and purposes stated in the appropriations per S.C. Code Ann. § 11-9-20. It is unlawful for an authorized public officer to enter into a contract for a purpose in which the sum is in excess of the amount appropriated for that purpose. It is unlawful for an authorized public officer to divert or appropriate the funds arising from any tax levied and collected for any one fiscal year to the payment of an indebtedness contracted or incurred for a previous year per S.C. Code Ann. § 11-1-40.
8. **Assignment:** The Agency and A/E, respectively, bind themselves, their agents, successors, assigns, and legal representatives to this Contract. Neither the Agency nor the A/E shall assign this Contract without the written consent of the other. S.C. Code Ann. Reg. 19-445.20180 provides as follows: "No State contract is transferable, or otherwise assignable, without the written consent of the Chief Procurement Officer, the head of a purchasing agency, or the designee of either; provided, however, that a contractor may assign monies receivable under a contract after due notice from the contractor to the State."
9. **Force Majeure:** In the event A/E is hindered, delayed or prevented from performing its obligations under this Contract as a result of any fire, flood, landslide, tornado or other act of God, malicious mischief, theft, strike, lockout, other labor problems, shortages of material or labor, or any other cause beyond the reasonable control of A/E, the time for completion of A/E's work shall be extended by the period of resulting delay.
10. **Open Trade Representation:** By signing this Contract, A/E represents that A/E is not currently engaged in the boycott of a person or an entity based in or doing business with a jurisdiction with whom South Carolina can enjoy open trade, as defined in SC Code § 11-35-5300. During the contract term, including any renewals or extensions, A/E will not engage in the boycott of a person or an entity based in or doing business with a jurisdiction with whom South Carolina can enjoy open trade, as defined in SC Code § 11-35-5300.

K. COMPENSATION

1. Basic Services:

The Agency shall compensate the A/E for the services rendered as described in the Contract Documents in Section A in the amount of:

\$ 44,000

2. Additional Services:

The Agency shall compensate the A/E for Additional Services rendered as described in the Contract Documents in Section A in the amount of:

\$ 0

3. Reimbursable Expenses:

For Reimbursable Expenses the compensation shall be the actual costs incurred by the A/E and the A/E's consultants. The A/E and the A/E's consultants shall be allowed a reasonable markup not to exceed 10% for administrative cost related to Reimbursable Expenses.

The Agency shall compensate the A/E for Reimbursable Expenses described in the Contract Documents in Section A as a not-to-exceed amount of:

\$ 0

4. Unless authorized in writing by the Agency prior to incurring the expense, no expense for transportation, travel, or subsistence will be reimbursable to the extent the expense exceeds the amount for which a state employee would be reimbursed under the Travel Regulations. Travel Regulations means the State Fiscal Accountability Authority's Regulations for Reimbursement for Travel and Subsistence Expenses, Disbursement Regulations pdf found at [\[https://cgs.sc.gov/guidance-and-forms-state-agencies/cgs-accounting-policies-and-procedures\]](https://cgs.sc.gov/guidance-and-forms-state-agencies/cgs-accounting-policies-and-procedures). There shall be no charge for time spent in travel.
5. Progress Payments. Payments for services shall be made monthly in proportion to services performed. The Agency shall make payments to the A/E of undisputed amounts due for services performed by the A/E within twenty-one (21) days of receipt of the A/E's invoice. The A/E shall make progress payments to the consultants within seven (7) days of the receipt by the A/E of each payment from the Agency. Payments due to the A/E and unpaid under this Contract shall bear interest only if and to the extent allowed by S.C. Code Ann. §§ 29-6-10 through 29-6-60. Amounts due to the A/E shall bear interest at the rate of one percent a month or a pro rata fraction thereof on the unpaid balance as may be due.
6. The Agency shall not withhold amounts from the A/E's compensation to impose a penalty.

AGENCY:

BY: 
 (Signature of Representative)

PRINT NAME: Eric G. Fosmire

PRINT TITLE: SCOR Chief of Staff

DATE: 9/22/2021

A/E:

BY: 
 (Signature of Representative)

PRINT NAME: Gary L. Freeman, Sr., PE.

Vice President
PRINT TITLE: SC Water Practice Leader

DATE: 9/23/2021



September 9, 2021

Ms. Karen Olson
Infrastructure Program Manager, Disaster Recovery Division
South Carolina Office of Resilience
632 Rosewood Drive
Columbia, SC 29201

**RE: Proposal for Civil Engineering Services
Lake City Highway 52 Stormwater Improvements – Phase 1B
Lake City, South Carolina**

Dear Ms. Olson:

AECOM Technical Services, Inc. (AECOM) is pleased to submit to the South Carolina Office of Resilience (Owner / Client) this proposal for civil engineering services related to the Highway 52 Stormwater Improvements – Phase 1B in Lake City, South Carolina. AECOM proposes to complete the project as outlined in the enclosed Scope of Work.

If this Proposal is acceptable, please sign the letter in the space provided below and return a copy to Kevin Krick. If you have any questions, please contact Kevin Krick at (803) 254-4400 or kevin.krick@aecom.com.

Sincerely,

AECOM Technical Services

Gary Freeman, PE
Vice President
South Carolina Water Department Manager

cc: Kevin Krick, P.E., AECOM

Enclosure

This Agreement is accepted by	
SOUTH CAROLINA OFFICE OF RESILIENCE, this	
<u>22nd</u>	day of
<u>September</u>	, 2021.
By:	
Title:	<u>SCOR Chief of Staff</u>



SCOPE OF WORK
Lake City Highway 52 Stormwater Improvements – Phase 1B

September 9, 2021

AECOM Technical Services, Inc. (AECOM) is pleased to submit to the South Carolina Office of Resilience (SCOR) (Owner / Client) this scope of work for engineering services related to the *Lake City Highway 52 Stormwater Improvements (Carver to Matthews) – Phase 1B*.

Project History

The City of Lake City recently completed Phase 1A of the Carver to Matthews Storm Drainage Improvements project. The Project consisted of approximately stormwater ditch enhancements and new stormwater pipe beginning with the drainage ditch at Main Street and continuing to Morris Street. Phase 1B was not constructed due to a lack of funding. Now that the City has received a CDBG-Mitigation Grant from the SCOR, they are ready to proceed with design, permitting, and construction of Phase 1B.

Scope of Work

AECOM is pleased to provide the following scope of work to take Phase 1B of the project through construction:

Task 1: Engineering Design

1. AECOM will develop construction plans for Phase 1B (based on the plans previously developed for the entire project). These plans will include all information necessary to allow a contractor to construct the proposed stormwater improvements.
2. AECOM will develop project specifications for Phase 1B, including a bid form with estimated quantities of the improvements.
3. AECOM will prepare the plans according to the Office of State Engineer (OSE) Manual.

Task 2: Permitting

AECOM will be responsible for preparation and submittal of the following permit applications. This task does not cover review fees associated with obtaining regulatory agency approval of the plans.

Land Disturbance and Stormwater Permitting

1. AECOM will complete the necessary permitting applications with the City and SCDHEC to obtain land disturbance and associated stormwater permits from the City, in compliance with the SC Construction General Permit.

South Carolina DOT (SCDOT) Permitting

2. AECOM will complete the necessary encroachment permit applications with the SCDOT to obtain the necessary permit approvals to complete the project. This includes permit application and associated figures, as well as coordination activities during permit acquisition.



US Army Corps of Engineers (USACE) Permitting

3. Based on preliminary evaluation, there will be no wetland or jurisdictional impacts as part of this project. Therefore, AECOM is not planning to provide USACE Permitting as part of this scope of work.

FEMA Floodplain Permitting

4. Based on review of the Existing Plans and PER, it appears that the ditch improvements associated with the project area outside of the existing Zone AE for Lake Swamp, which will not require a floodplain alteration permit. Therefore, floodplain evaluation, flood studies and FEMA coordination are not included as part of this scope of services.

Task 3: Bidding & Award

1. During the advertisement period, AECOM will answer questions of contractors, subcontractors, equipment suppliers, etc. and will issue addendums to the plans and specifications as appropriate.
2. AECOM will attend the pre-bid conference.

Task 4: Construction Administration

AECOM will provide the following Construction Administration services:

1. Prior to commencement of construction, AECOM will attend a pre-construction conference to review project schedules, requirements, conditions, etc.
2. AECOM will review shop drawings provided by the contractor for the project.
3. AECOM will attend monthly progress meetings. This includes 4 site meetings.
4. If a construction change order is received, AECOM will prepare and submit the proposed change order to the SCOR for approval.
5. AECOM will prepare "Record Drawings" of the project based on the red-line as-built drawings provided by the Contractor.
6. AECOM will respond to Request of Information (RFI's).

Compensation

AECOM will complete the tasks outlined above for lump sum fees as follows:

Task	Lump Sum Fee
Task 1 – Engineering Design	\$ 19,000
Task 2 – Permitting	\$ 6,000
Task 3 – Bidding & Award	\$ 5,000
Task 4 – Construction Administration	\$ 14,000
TOTAL	\$ 44,000